PTO/SB/08A (01-08)

Approved for use through 01/31/2008. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Rapprovork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

JAN 2 4 2008

Sheet

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known		
Application Number	10/757,292	-
Filing Date	January 14, 2004	
First Named Inventor	Yutaka Tohgi	
Art Unit	2139	
Examiner Name	Harris C. Wang	
Attorney Docket Number	051270-0307683	

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ^{2 (f known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevan Figures Appear
	-	Number-Kind Code	00/00/0000		
	1 .	^{US-} 2002/0026445 A1	02/28/2002	Chica, et al.	
		US-			
		US-			
		US-			
		ÚS-			
		US-			

F		Foreign Patent Document	IGN PATENT DOCL Publication	Name of Patentee or	Pages, Columns, Lines,	_
Examiner Cite Initials* No.1	No.1	Foreign Patent Document	Date	Applicant of Cited Document	Where Relevant Passages	
IIIIuais	140.		MM-DD-YYYY	Applicant of office Bocument	Or Relevant Figures Appear	т
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				L
	1	WO 00/54128	09/14/2000	Ward, et al.		L
	2	EP 1 191 422 A2	03/27/2002	Int'l Business Machines		
	3	WO 02/061557 A2	08/08/2002	Liquid Audio, Inc.		L
	4	WO 01/35242 A1	05/17/2001	Barnea, Gad		
	5	WO 02/073378 A2	09/19/2002	Koninklijke Philips Elec		

	The Control of the Co
Examiner	Date
Signature	Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/08B (01-08)
Approved for use through 01/31/2008. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449/PTO		Complete if Known			
y Cassarate for form 1440,			Application Number	10/757,292	
INFORMATI			Filing Date	January 14, 2004	NO 10 K
STATEMENT BY APPLICANT		First Named Inventor	Yutaka Tohgi	7	
(Use as many sheets as necessary)		Art Unit	2139	国 JAN 2 4 2008	
		Examiner Name	Harris C. Wang		
Sheet 1	of	1	Attorney Docket Number	051270-0307683	MORMAN

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	1	Decision to refuse a European Patent application No. 04 000 644.7 (dated 06/04/2007)	
	2	Provision of a copy of the minutes in accordance with Rule 76(4) EPC (dated 06/04/2007)	
-			
		·	
		·	

Examiner	Date	
Signature	Consider	ed

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.